



Government of Himachal

R F D

Results Framework Document

for

Panchayati Raj

(2011-2012)

Section 1: Vision, Mission, Objectives and Functions

Vision

To attain Gram Swaraj through the Panchayati Raj Institutions and participation of Gram Sabha.

Mission

To make the PRIs more accountable, efficient and professional as well as bringing more transparency in their functioning so as to enable these institutions to function as institutions of Local Self Government for delivering services to the expectation of the rural public .

Objective

- 1 Building the organizational capacity of PRIs and the professional capacity of Elected Representatives and Official Functionaries so that they can perform their mandated roles effectively
- 2 Implementation of e-Panchayat(State specific applications)
- 3 Implementation of e-Panchayat (MoPR applications).
- 4 Compliance of the recommendations of the 13th Finance Commission enabling the State to get performance grant including adoption of Accounting framework and codification pattern consistent with Model Panchayat Accounting system.
- 5 Implementing the Backward Region Grant Fund (BRGF) Programme effectively in two Districts for mitigating regional backwardness.
- 6 Devising institutions, systems and processes for enhancing efficiency, transparency and accountability of the PRIs.
- 7 Positioning the Gram Sabhas at the core of PRIs for true self-governance and ensuring transparency and accountability of the Gram Panchayats.
- 8 Implementation of provisions of PESA effectively in PESA Districts and Sub-Division of the State.
- 9 Institutionalizing and using integrated decentralized Participatory Planning through PRIs and DPCs for the convergence of plethora of schemes and pooling of diverse resources for better outcomes.
- 10 Progressive devolution of Functions, Funds and Functionaries (3Fs) upon the Panchayati Raj Institutions (PRIs).

Functions

- 1 To formulate the Panchayati Raj Act and rules under the Act and to enforce the provisions thereof.
- 2 Strengthening of Panchayati Raj Institutions as per the mandate of Constitution of India.
- 3 To provide guidance and training to the office bearers of the PRIs and the functionaries of the Department.
- 4 To conduct inspections of record and schemes and works of the Panchayats and to conduct audit of the accounts of the Panchayats.

Section 1: Vision, Mission, Objectives and Functions

- 5 Providing Grant-in-Aid and administrative support to PRIs.
- 6 Implementation of recommendations of the Central and State Finance Commission in respect of PRIs.
- 7 Implementation of the schemes of the MoPR.
- 8 To exercise financial control on the grants and loans provided to the PRIs and expenditure incurred by them.

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success	Unit	Weight	Target / Criteria Value				
						Excellen	VeryGoo	Good	Fair	Poor
						100%	90%	80%	70%	60%
[1] Building the organizational capacity of PRIs and the professional capacity of Elected Representatives and Official Functionaries so that they can perform their mandated roles effectively	20.00	[1.1] Training and Capacity Building	[1.1.1] Foundation course for all the newly elected representatives and Panchayat functionaries.	Mandays	8.00	123124	110812	98499	86187	73874
			[1.1.2] Thematic courses for all the newly elected representatives and Panchayat functionaries on two modules out of total four identified.	Mandays	8.00	30000	27000	24000	21000	18000
		[1.2] Building Panchayat infrastructure, i.e., providing funds for construction and up-gradation of Panchayat Ghar	[1.2.1] All Panchayats should have Panchayat Ghars.	Number	4.00	1124	1012	899	787	674
[2] Implementation of e-Panchayat(State specific applications)	12.00	[2.1] Development and roll out of State specific applications	[2.1.1] Elected Representatives applications to be developed and rolled out	Number	6.00	27832	25049	22266	19482	16699
			[2.1.2] Pariwar Register Application to be developed and rolled out in GPs	Number	6.00	3000	2700	2400	2100	1800
[3] Implementation of e-Panchayat (MoPR applications).	12.00	[3.1] Roll out of State MoPR applications	[3.1.1] Plan Plus Application to be developed and rollout in BRGF Districts	Number	3.00	526	473	421	368	316
			[3.1.2] PRIASoft Accounting Application to be developed and rollout in Panchayats	Number	3.00	1012	911	810	708	607

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success	Unit	Weight	Target / Criteria Value				
						Excelle	VeryGoo	Good	Fair	Poor
						100%	90%	80%	70%	60%
			[3.1.3] National Panchayat Portals Application to be developed and rollout in Panchayats	Number	3.00	526	473	421	368	316
			[3.1.4] National Panchayat Directory Application to be developed and rollout	Number	3.00	3243	2919	2594	2270	1946
[4] Compliance of the recommendations of the 13th Finance Commission enabling the State to get performance grant including adoption of Accounting framework and codification pattern consistent with Model Panchayat Accounting system.	11.00	[4.1] Submitting the proposal with all codal formalities	[4.1.1] Entitled amount to be claimed	Amount in Lac	11.00	8080	7272	6464	5656	4848
[5] Implementing the Backward Region Grant Fund (BRGF) Programme effectively in two Districts for mitigating regional backwardness.	8.00	[5.1] Timely submitting the proposal with all codal formalities.	[5.1.1] Entitled amount to be claimed by submitting timely proposal	Date	8.00	02/01/2012	01/02/2012	01/03/2012	02/04/2012	01/05/2012
[6] Devising institutions, systems and processes for enhancing efficiency, transparency and accountability of the PRIs.	10.00	[6.1] Audit of PRIs to be done.	[6.1.1] Audit of GPs, PS and ZP	%	5.00	60	54	48	42	36
		[6.2] Inspection of PRIs to be done.	[6.2.1] Inspection of GPs	%	5.00	80	72	64	56	48
[7] Positioning the Gram Sabhas at the core of PRIs for true self-governance and ensuring transparency and accountability of the Gram Panchayats.	5.00	[7.1] All Gram Sabha to fulfill the required quorum to perform the statutory functions.	[7.1.1] Minimizing adjournment of general meetings of Gram Sabha for want of quorum	Number	5.00	1135	1021	908	795	681

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success	Unit	Weight	Target / Criteria Value				
						Excellen	VeryGoo	Good	Fair	Poor
						100%	90%	80%	70%	60%
[8] Implementation of provisions of PESA effectively in PESA Districts and Sub-Division of the State.	5.00	[8.1] Finalization of rules under PESA	[8.1.1] Rules under PESA to be framed , published and notified	Date	5.00	15/04/2011	30/04/2011	15/05/2011	31/05/2011	15/06/2011
[9] Institutionalizing and using integrated decentralized Participatory Planning through PRIs and DPCs for the convergence of plethora of schemes and pooling of diverse resources for better outcomes.	3.00	[9.1] Preparation of integrated district Plan	[9.1.1] Integrated plan to be prepared in all the districts	Number of Districts	3.00	2	2	2	1	1
[10] Progressive devolution of Functions, Funds and Functionaries (3Fs) upon the Panchayati Raj Institutions (PRIs).	3.00	[10.1] Implementation of Activity Map	[10.1.1] Line department to be perused to devolve 3Fs	Number of Departments to be facilitated	3.00	6	5	4	3	2
* Efficient Functioning of the RFD System	5.00	Timely submission of Draft for Approval	On-time submission	Date	2.0	12/08/2011	16/08/2011	17/08/2011	18/08/2011	19/08/2011
		Timely submission of Results	On-time submission	Date	1.0	01/05/2012	02/05/2012	03/05/2012	04/05/2012	07/05/2012
		Finalize a Strategic Plan	Finalize the Strategic Plan for next 5 years	Date	2.0	20/02/2012	24/02/2012	29/02/2012	05/03/2012	09/03/2012
* Improving Internal Efficiency / responsiveness /service delivery of Department	4.00	Develop RFDs for all Responsibility Centers (Subordinate Offices, Attached Offices, Autonomous Bodies,	Percentage of RCs covered	%	2.0	100	95	90	85	80
		Implementation of Sevottam	Create a compliant system to implement, monitor and review Citizen's / Client's Charter	Date	1.0	20/02/2012	24/02/2012	29/02/2012	05/03/2012	09/03/2012
			Create a Compliant system to redress and monitor public Grievances	Date	1.0	20/02/2012	24/02/2012	29/02/2012	05/03/2012	09/03/2012

* Mandatory Objective(s)

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success	Unit	Weight	Target / Criteria Value				
						Excellen	VeryGoo	Good	Fair	Poor
						100%	90%	80%	70%	60%
* Administrative Reforms	2.00	Identify potential areas of corruption related to departmental activities and develop an action plan to mitigate them	Finalize an action plan to mitigate potential areas of corruption.	Date	2.0	10/12/2011	15/12/2011	20/12/2011	24/12/2011	31/12/2011

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success	Unit	Actual Value	Actual Value	Target Value	Projected Value for	Projected Value for
				FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14
[1] Building the organizational capacity of PRIs and the professional capacity of Elected Representatives and Official Functionaries so that they can perform their mandated roles effectively	[1.1] Training and Capacity Building	[1.1.1] Foundation course for all the newly elected representatives and Panchayat functionaries.	Mandays	0	0	123124	0	0
		[1.1.2] Thematic courses for all the newly elected representatives and Panchayat functionaries on two modules out of total four identified.	Mandays	137680	8260	30000	62343	92343
	[1.2] Building Panchayat infrastructure, i.e., providing funds for construction and up-gradation of Panchayat Ghar	[1.2.1] All Panchayats should have Panchayat Ghars.	Number	805	1048	1124	0	0
[2] Implementation of e-Panchayat(State specific applications)	[2.1] Development and roll out of State specific applications	[2.1.1] Elected Representatives applications to be developed and rolled out	Number	0	0	27832	0	0
		[2.1.2] Pariwar Register Application to be developed and rolled out in GPs	Number	0	0	3000	243	0
[3] Implementation of e-Panchayat (MoPR applications).	[3.1] Roll out of State MoPR applications	[3.1.1] Plan Plus Application to be developed and rollout in BRGF Districts	Number	526	526	526	526	526
		[3.1.2] PRIASoft Accounting Application to be developed and rollout in Panchayats	Number	--	--	1012	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success	Unit	Actual Value FY 09/10	Actual Value FY 10/11	Target Value FY 11/12	Projected Value for FY 12/13	Projected Value for FY 13/14
		[3.1.3] National Panchayat Portals Application to be developed and rollout in Panchayats	Number	0	0	526	0	0
		[3.1.4] National Panchayat Directory Application to be developed and rollout	Number	--	--	3243	0	0
[4] Compliance of the recommendations of the 13th Finance Commission enabling the State to get performance grant including adoption of Accounting framework and codification pattern consistent with Model Panchayat Accounting system.	[4.1] Submitting the proposal with all codal formalities	[4.1.1] Entitled amount to be claimed	Amount in Lac	2940	5187	8080	11870	14033
[5] Implementing the Backward Region Grant Fund (BRGF) Programme effectively in two Districts for mitigating regional backwardness.	[5.1] Timely submitting the proposal with all codal formalities.	[5.1.1] Entitled amount to be claimed by submitting timely proposal	Date	--	09/03/2011	02/01/2012	01/01/2013	--
[6] Devising institutions, systems and processes for enhancing efficiency, transparency and accountability of the PRIs.	[6.1] Audit of PRIs to be done.	[6.1.1] Audit of GPs, PS and ZP	%	95	38	60	60	60
	[6.2] Inspection of PRIs to be done.	[6.2.1] Inspection of GPs	%	72	30	80	80	80
[7] Positioning the Gram Sabhas at the core of PRIs for true self-governance and ensuring transparency and accountability of the Gram Panchayats.	[7.1] All Gram Sabha to fulfill the required quorum to perform the statutory functions.	[7.1.1] Minimizing adjournment of general meetings of Gram Sabha for want of quorum	Number	876	972	1135	1297	1621

Section 3: Trend Values of the Success Indicators

Objective	Action	Success	Unit	Actual Value	Actual Value	Target Value	Projected Value for	Projected Value for
				FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14
8 Implementation of provisions of PESA effectively in PESA Districts and Sub-Division of the State.	[8.1] Finalization of rules under PESA	[8.1.1] Rules under PESA to be framed , published and notified	Date	--	--	30/04/2011	--	--
9 Institutionalizing and using integrated decentralized Participatory Planning through PRIs and DPCs for the convergence of plethora of schemes and pooling of diverse resources for better outcomes.	[9.1] Preparation of integrated district Plan	[9.1.1] Integrated plan to be prepared in all the districts	Number of Districts	2	2	2	4	6
10 Progressive devolution of Functions, Funds and Functionaries (3Fs) upon the Panchayati Raj Institutions (PRIs).	[10.1]Implementation of Activity Map	[10.1.1] Line department to be perused to devolve 3Fs	Number of Departments to be facilitated	0	0	6	7	10
* Efficient Functioning of the RFD System	Timely submission of Draft for Approval	On-time submission	Date	--	--	16/08/2011	--	--
	Timely submission of Results	On-time submission	Date	--	--	02/05/2012	--	--
	Finalize a Strategic Plan	Finalize the Strategic Plan for next 5 years	Date	--	--	24/02/2012	--	--
* Improving Internal Efficiency / responsiveness /service delivery of Department	Develop RFDs for all Responsibility Centers (Subordinate Offices, Attached Offices, Autonomous Bodies,	Percentage of RCs covered	%	--	--	95	--	--
	Implementation of Sevottam	Create a compliant system to implement, monitor and review Citizen's / Client's Charter	Date	--	--	24/02/2012	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success	Unit	Actual Value FY 09/10	Actual Value FY 10/11	Target Value FY 11/12	Projected Value for FY 12/13	Projected Value for FY 13/14
		Create a Compliant system to redress and monitor public Grievances	Date	--	--	24/02/2012	--	--
* Administrative Reforms	Identify potential areas of corruption related to departmental activities and develop an action plan to mitigate them	Finalize an action plan to mitigate potential areas of corruption.	Date	--	--	15/12/2011	--	--

* Mandatory Objective(s)

Section 4:
Description and Definition of Success Indicators
and Proposed Measurement Methodology

Objective	Success Indicator	Definitions and Explanations of success indicator.
1. Building the organizational capacity of PRIs and the professional capacity of Elected Representatives and Official Functionaries so that they can perform their mandated roles effectively	1.1.1. Foundation course for all the newly elected representatives and Panchayat functionaries.	As per Training Need Analysis (TNA) report, the Government took decision to organize 4 days foundation courses to all the newly elected 27832 representatives and 2949 existing Panchayat Secretary and Panchayat Sahayaks within a period of five months i.e. up to mid of June, 2011.
	1.1.2 Thematic courses for all the newly elected representatives and Panchayat functionaries on two modules out of total four identified.	Four thematic areas i.e. Civil Works Management, Financial Management, General Functioning of PRIs and Performance of Judicial Functions by Gram Panchayats were identified during the Training Need Analysis (TNA) exercise for 3 days training courses after foundation course. It has been approved in the annual plan that out of four thematic areas training on two thematic areas will be imparted within this Financial Year to 10,000 the elected representatives and Gram Panchayat level functionaries.

	<p>1.2.1 All Panchayats should have Panchayat Ghars.</p>	<p>Out of the total 3243 Gram Panchayats, 2119 Gram Panchayats have been provided funds for the constructions of new Panchayat Ghars and upgradation of their existing Panchayat Ghars during the previous year . As on 31-3-2011 construction and up-gradation 944 Panchayat Ghars were in progress and construction work could not be started in 149 Gram Panchayats. In addition to this 31 Gram Panchayats offices are running in private buildings. The endeavor of the Department would be to get the construction work completed during the end of this financial year so that each Gram Panchayats could have its own Panchayat Ghar for performing various functions.</p>
<p>2. Implementation of e-Panchayat(State specific applications)</p>	<p>2.1.1 Elected Representatives application to be developed and Rolled out</p> <hr/> <p>2.1.2 Pariwar Register application to be developed and Rolled out in Gram Panchayats</p>	<p>The State Government has developed to State specific applications namely Profiler of elected representatives &Pariwar register software for Panchayats. These two above software applications will be made operationalized by the end of this financial year in all the Gram Panchayats and at State level. It has been targeted to upload the profile of all the 27832 Elected Representatives in the 1st. software and make it online where as the target for Pariwar Register Software roll out has been kept for 3000 Gram Panchayats.</p>

<p>3. Implementation of e-Panchayat (MoPR applications).</p>	<p>3.1.1 Plan Plus Application to be developed and rollout in BRGF Districts</p> <p>3.1.2 PRIASoft Accounting Application to be developed and rollout in Panchayats</p> <p>3.1.3 National Panchayat Portals Application to be developed and rollout in Panchayats</p> <p>3.1.4 National Panchayat Directory Application to be developed and rollout</p>	<p>Ministry of Panchayati Raj (MoPR), Government of India proposes to develop the 12 software application to be rolled out in the Panchayats out of these 12 applications, 4 applications namely PRIASoft, Planplus, National Panchayat Portal and National Panchayat Directory has already been finalized and State Governments has been asked to roll out the same. These four applications are web based and will be implemented in those Gram Panchayats having Internet connectivity. Presently the connectivity available in 531 Gram Panchayats and all the 77 Panchayat Samitis and 12 Zila Parishads of the State.</p>
<p>4. Claiming of general and performance grants under the recommendations of the 13th Finance Commission as per entitlement.</p>	<p>4.1.1 entitled amount to be claimed</p>	<p>The State Government is entitled for Rs.80.80 crore during this Financial Year under the award of 13th Finance Commission, which also includes Rs.20.60 crore performance grant. The State Government the target to claim whole amount by submitting timely claims.</p>

<p>5. Implementing the Backward Region Grant Fund (BRGF) Programme effectively for mitigating regional backwardness.</p>	<p>5.1.1 entitled amount to be claimed by submitting timely proposal</p>	<p>The State Government is entitled for Rs.32.22 crore during this Financial Year under BRGF, which also includes Rs.2.0 crore as capacity building grant. The target of State Government to submit the proposals to Government of India after completing all the codal formalities is on 1st. January ,2012.</p>
<p>6. Devising institutions, systems and processes for enhancing efficiency, transparency and accountability of the PRIs.</p>	<p>6.1.1 Audit of GPs, PS and ZP</p>	<p>Target of annual audit of Gram Panchayat has been fixed for 60% per year. The target of 60% i.e. 2000 Panchayats is proposed to be achieved during this fiscal year</p>
	<p>6.2.1. Inspection of GPs</p>	<p>The target of 80% i.e. 2595 Panchayats inspection of Gram Panchayat is proposed to be achieved during this fiscal year</p>
<p>7. Positioning the Gram Sabhas at the core of PRIs for true self-governance and ensuring transparency and accountability of the Gram Panchayats.</p>	<p>7.1.1. Minimizing adjournment of general meetings of Gram Sabha for want of quorum</p>	<p>Presently, 25 to 35% of the Gram Sabha's meeting are held without adjournment. On analyzing the reasons for adjourned the State Government proposes to increase the unadjourned Gram Sabha meetings upto 40 % i.e. 1135 Gram Panchayats during this Financial Year.</p>
<p>8. Implementation of provisions of PESA effectively.</p>	<p>8.1.1 Rules under PESA to be framed , published and notified</p>	<p>In Himachal Pradesh, the provisions of Panchayats (Extension to the Scheduled Areas) Act, 1996 are being implemented and rules for the purpose of carrying out the provisions of this Act are required to be framed, published and notified.</p>

<p>9. Institutionalizing and using integrated decentralized participatory Planning through PRIs and DPCs for the convergence of plethora of schemes and pooling of diverse resources for better outcomes.</p>	<p>9.1.1 Integrated plan to be prepared in all the districts</p>	<p>Preparation of integrated participatory planning through the PRIs and its consolidation at the level of District Planning Committee is one of the priority areas of the Department, however, its success depend on the involvement of lines Departments and State Planning Department.</p>
<p>10. Progressive devolution of Functions, Funds and Functionaries (3Fs) upon the Panchayati Raj Institutions (PRIs).</p>	<p>10.1.1 Line department to be perused to devolve 3Fs</p>	<p>The Department has already notified the Activity Map of the 29 subjects mentioned in 11th Schedule of the Constitution. Steps for devolving functions, funds and functionaries as per Activity Map is to be taken by the concerned Department. This Department will pursue the matter, however, its actual achievement and success will depend on the decision of line Departments and State Planning Department.</p>
<p>11. Efficient functioning of RFD system.</p>	<p>11.1.1 On time submission</p>	<p>This indicator is self explanatory.</p>

Section 5:
Specific Performance Requirements from other Departments

Department	Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
All the line departments of the State and Ministry of Panchayati Raj , Gol	Capacity building of PRIs	Resource persons, reading material and funds from Ministry of Panchayati Raj , Gol	To impart effective training	Not relevant	The elected representatives will not be able to know the details of various schemes being implemented and the department would not be able to impart training in the absence of funds.
Forest, Revenue Departments and the Panchayats	Construction of Panchayat Ghar	To make available land and forest clearance	In spite of availability of funds construction has not started in many GPs.	Not relevant	Every Panchayat will not have its office in own building

MoPR, NIC, BSNL, State Electronic Dev. Corp.	E-Panchayat	Availability of core application software, connectivity, training and replacement of hardware etc.	Implementation of e-panchayat project	MoPR and NIC to provide all core application software; BSNL to provide connectivity, HP S EDC to ensure roll out of Pariwar register and PRIYASOFT as per agreement and to timely replace the hardware as per requirement.	e-panchayat project will not be implemented fully
Finance Department and PRIs	Submitting the proposal for claim of funds under 13 th FC and BRGF	UCs from PRIs and submission of claim by FD to GOI	To claim the installments	Not relevant	The department would not be able to claim the grant
All the line departments performing functions pertaining to 29 subjects listed in 11 th Schedule of the Constitution.	Implementation of Activity Maps	Notification for devolution of 3Fs as per Activity Maps	Because devolution of 3Fs is in the domain of the respective department	Not relevant	The notification of Activity Mapping will not be implemented and devolution of functions ,functionaries and finances to PRIs will not happen
District level offices of line departments and PRIs	Preparation of integrated district plan	Disclosure of resource envelop, existing norms and indicators	For achieving need based bottom up planning	Not applicable	Requirement of article 243ZD will not be fulfilled

All the line departments and Ministries of GOI	Minimizing adjournment of general meetings of Gram Sabha for want of quorum	There should be only 4 general meetings of Gram Sabha, and the items pertaining to various departments of the State Govt. and Ministries of GOI may be included in the agenda of general meetings	Holding of frequent Gram Sabha meetings other than four statutory meetings is resulting lesser interest of the Sabha members in attending these meeting.	No special meeting of Gram Sabha to be convened for discussing the agenda/ issues pertaining to Departments of State Government and Ministries of GOI	It will be difficult to mobilize sabha members to attend all the meetings and thereby achieving quorum
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Section 6: OutCome/Impact of Department/Ministry

OutCome/Impact of Department/Minist	Jointly responsible for influencing this outcome / impact with the following department (s) /	Success Indicator	FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14
1 Better delivery of public services at the grass root level.	Panchayati Raj & Rural Development Departments.	Improvement in the service delivery at grass root	--	--	--	--	--
2 Gender development leading to inclusive growth	Panchayati Raj & Social Justice and Empowerment Departments	No. of women elected in Panchayati Raj Institutions	--	--	--	--	--
3 Better Governance	Panchayati Raj Department	Function of Panchayati Raj Institutions as a unit of Local Governance	--	--	--	--	--
4 Empowerment of Gram Sabha	All line Departments	Participation of Gram Sabha/ Up-Gram Sabha members in Gram Sabha meetings	--	--	--	--	--